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Effect of exosomes derived from multipluripotent mesenchymal stromal cells on functional recovery and neurovascular plasticity in rats after traumatic brain injury

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Abstract

Object—Transplanted multipotent mesenchymal stromal cells (MSCs) improve functional recovery in rats after traumatic brain injury (TBI). Here, we test a novel hypothesis that systemic administration of cell-free exosomes generated from MSCs promotes functional recovery and neurovascular remodeling in rats after TBI.

Methods—Wistar rats were subjected to TBI followed by tail vein injection of 100 µg protein of exosomes derived from MSCs or an equal volume of vehicle phosphate-buffered saline (n = 8/group) 24 hours later. To evaluate cognitive and sensorimotor functional recovery, the modified Morris water maze, neurological severity score and footfault tests were performed. Animals were sacrificed at 35 days after TBI. Histopathological and immunohistochemical analyses were performed for measurements of lesion volume, neurovascular remodeling (angiogenesis and neurogenesis), and neuroinflammation.

Results—Compared with saline-treated controls, exosome-treated TBI rats showed significant improvement in spatial learning at 34-35 days measured by the Morris water maze test (p < 0.05), and sensorimotor functional recovery, i.e., reduced neurological deficits and footfault frequency, observed at 14-35 days post injury (p < 0.05). Exosome treatment significantly increased the number of newborn endothelial cells in the lesion boundary zone and dentate gyrus, and significantly increased the number of newborn immature and mature neurons in the dentate gyrus as well as reduced neuroinflammation.

Conclusions—We, for the first time, demonstrate that MSC-generated exosomes effectively improve functional recovery, at least in part, by promoting endogenous angiogenesis and

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neurogenesis and reducing inflammation in rats after TBI. Thus, MSC-generated exosomes may provide a novel cell-free therapy for TBI and possibly other neurological diseases.

Keywords

angiogenesis; exosomes; function recovery; mesenchymal stromal cell (MSC); neurogenesis; traumatic brain injury

Introduction

Traumatic brain injury (TBI) is a major cause of death and long-term disability worldwide. 41 Although many neuroprotective therapeutic trials for TBI have been undertaken in the past, no broadly applicable, safe and efficacious treatment has been identified. 94 There is a compelling need to develop therapeutic approaches designed to improve functional recovery after TBI. Multipotent mesenchymal stromal cells (MSCs) are a heterogeneous subpopulation consisting of mesenchymal stem and progenitor cells that can be harvested from bone marrow, adipose tissue, skin, umbilical cord blood and peripheral blood as well as other organs. ²⁸ Extensive research conducted during the last decade has shown great promise for MSCs as an effective therapy for brain injuries including TBI in experimental models, ^{14,46,56,66} and potentially in clinical settings. ^{18,105} Previous studies from us and others show that only a small proportion of transplanted MSCs actually survive and few MSCs differentiate into neural cells in injured brain tissues. 45,54The predominant mechanisms by which MSCs participate in brain remodeling and functional recovery are related to their secretion-based paracrine effect rather than a cell replacement effect. 14,46 While the predominant role of MSC paracrine activity in brain tissue remodeling after injury has already been established, 9,15,46 whether MSC-generated exosomes promote brain remodeling and functional recovery after TBI remains unknown.

Exosomes are endosomal origin small-membrane vesicles with a size of 30 to 120 nm in diameter. 86 They are generated by many cell types and contain not only proteins and lipids, but also messenger RNAs and micro RNAs (miRNAs). Exosomes are well suited for small functional molecule delivery and increasing evidence indicates that they have a pivotal role in cell-to-cell communication. ⁷⁰ In contrast to transplantation of exogenous MSCs, MSCderived exosomes do not proliferate, are less immunogenic, easier to store and deliver than MSCs. ³⁶ Recent studies indicate that exosomes and microvesicles derived from multipotent MSCs have therapeutic promise in cardiovascular, liver, and kidney diseases. ^{7,17,60} We have previously demonstrated that exosomes generated from MSCs promote neurite remodeling and functional recovery in rats after stroke. 91 As a proof-of-principle study of MSCexosomes as a novel cell-free alternative therapeutic approach for TBI, we will test the hypothesis that exosomes generated from MSCs when systemically administered to an animal with TBI improve functional outcome, with therapeutic benefits reflecting those observed with systemically administered MSCs. In the present study, we intravenously administer exosomes generated by MSCs to rats subjected to TBI induced by controlled cortical impact injury and investigate cognitive and sensorimotor functional recovery as well as the potential mechanisms underlying therapeutic effects.

Methods

All experimental procedures were approved by the Henry Ford Health System Institutional Animal Care and Use Committee. To prevent potential biases of performance and detection, the persons who performed the experiments, collected data, and assessed outcome were blinded throughout the course of the experiments and were unaware of the treatment allocation.

Mesenchymal Stromal Cells Exosome Generation and Collection

MSC expansion was performed according to previously described methods.⁹¹ Briefly, bone marrow from adult male rats was mechanically harvested by flushing the cavity of the femurs with PBS, and the cells were washed and suspended in culture medium. 91 Three days later, cells that tightly adhered to the plastic flasks were considered as P0 MSCs. MSCs were conventionally cultured with a modified MEM medium (Hyclone, Logan, UT, USA) containing 20% fetal bovine serum (Gibson Laboratory, Grand Island, NY, USA) and penicillin-streptomycin on 75cm² tissue culture flasks (Corning, St Louis, MO, USA). For the exosome isolation, conventional culture medium was replaced with an exosome-depleted fetal bovine serum-contained (EXO-FBS-250 A-1, System Biosciences, Mountain View, CA, USA) medium when the cells reached 60% to 80% confluence, and the MSCs were cultured for an additional 48 hours. The media were then collected and exosomes were isolated by ExoQuick exosome isolation method according to the manufacture's instruction. Briefly, ExoQuick-TC (2.5 ml) was added to 10 ml of media, incubated 12 hours at 4°C, and centrifuged at 1500 × g for 30 min to obtain pelleted exosomes. The supernatant (nonexosomal fraction) of the samples were removed without disturbing the exosome pellets, and exosome pellets were resuspended in 200 µl of PBS. We quantitated the exosomes by measuring the total protein concentration using the micro Bicinchoninic Acid protocol (Pierce, Rockford, IL, USA) and analyzed particle size using a qNano nanopore-based exosome detection system according to the manufacture's instructions (Izon, Christchurch, New Zealand).

Animal Model and Experimental Groups

A well-established controlled cortical impact (CCI) rat model of TBI was utilized for the present study. 23 Adult male Wistar rats weighing $325 \pm 11g$ (2-3 months old) were anesthetized with chloral hydrate (350 mg/kg body weight, intraperitoneally). Rectal temperature was maintained at $37 \pm 5^{\circ}$ C using a feedback-regulated water-heating pad. Rats were placed in a stereotactic frame. Two 10-mm-diameter craniotomies were performed adjacent to the central suture, midway between lambda and bregma. The second craniotomy allowed for lateral movement of cortical tissue. The dura mater was kept intact over the cortex. Cortical injury was delivered by impacting the left cortex (ipsilateral cortex) with a pneumatic piston containing a 6-mm-diameter tip at a rate of 4 m/s and 2.5 mm of compression. Velocity was measured with a linear velocity displacement transducer.

The study animals were randomly divided into 3 groups (n = 8/group): 1) TBI + exosomes, 2) TBI + vehicle phosphate-buffered saline (PBS), and 3) sham. Exosomes generated from MSCs (100 µg total protein of exosome precipitate in 0.5 ml PBS/rat) or an equal volume of

PBS (0.5 ml) was administered intravenously over 5 min via tail vein, starting 1 day after injury, which was chosen based on our recent stroke study with exosomes in rats. 91 TBI animals treated with PBS were used as a control group. Sham animals underwent surgery without injury and treatment. For labeling proliferating cells, 5-bromo-2'-deoxyuridine (BrdU, 100 mg/kg) was injected intraperitoneally into rats daily for 10 days, starting 1 day after TBI. The dose and time for BrdU injection was based on our previous TBI studies in rats. 96 All animals were allowed to survive 35 days after TBI.

Evaluation of Neurological Outcome

Modified Neurological Severity Score (mNSS) Test—Neurological functional measurement was performed using the mNSS test. ¹⁰ The test was carried out on all rats preinjury and at 1, 4, 7, 14, 21, 28 and 35 days after TBI. The mNSS is a composite of the motor (muscle status, abnormal movement), sensory (visual, tactile, and proprioceptive), and reflex tests and has been used in previous studies. ⁵³ Neurological function was graded on a scale of 0 to 18 (normal score 0; maximal deficit score 18). In the severity scores of injury, one point is awarded for each abnormal behavior or for lack of a tested reflex; thus, the higher the score, the more severe the injury.

Foot Fault Test—To evaluate sensorimotor function, the foot fault test was carried out before TBI and at 1, 4, 7, 14, 21, 28 and 35 days after TBI. The rats were allowed to walk on a grid. With each weight-bearing step, a paw might fall or slip between the wires and, if this occurred, it was recorded as a foot fault.⁵. A total of 50 steps were recorded for the right forelimb.

Morris Water Maze (MWM) Test—To measure spatial learning impairments, an updated version of the MWM test was used. 13 The procedure was modified from previous versions, ⁶⁴ and has been used for spatial memory assessment in rodents with brain injury. ¹³ The MWM test was performed monthly postinjury. At each testing interval, animals were tested with 4 trials per day for 5 consecutive days on Day 31-35 after mTBI. A blue swimming pool (1.8 m in diameter) was located in a large room, where there were many clues external to the maze (e.g., pictures on the walls, lamps and a camera on the ceiling); these were visible from the pool and presumably used by the rats for spatial orientation. The position of the cues remained unchanged throughout the experiment. Data collection was automated using the HVS Image 2020 Plus Tracking System (US HVS Image, San Diego, CA), as described previously.⁵⁷ For data collection, the swimming pool was subdivided into four equal quadrants formed by imaging lines. At the start of each trial, the rat was placed at one of four fixed starting points, randomly facing toward a wall (designated North, South, East and West) and allowed to swim for 90 seconds or until it found the platform which was transparent and invisible to animals. If the animal found the platform by spatial navigation, it was allowed to remain on it for 10 seconds. If the animal failed to find the platform within 90 seconds, it was placed on the platform for 10 seconds. Throughout the test period, the platform was located in the northeast (NE) quadrant 2 cm below water in a randomly changing position, including locations against the wall, toward the middle of the pool, or off-center but always within the target quadrant. If the animal was unable to locate the platform within 90 seconds, the trial was terminated and a maximum score of 90 seconds

was assigned. If the animal reached the platform within 90 seconds, the percentage of time traveled within the NE (correct) quadrant was calculated relative to the total amount of time spent swimming before reaching the platform and employed for statistical analysis. The latency to find the hidden escape platform was also recorded and analyzed. The advantage of this version of the water maze is that each trial takes on the key characteristics of a probe trial, because the platform is not in a fixed location within the target quadrant. In the traditional version of the MWM test, the position of the hidden platform is always fixed and is relatively easy for rodents. With the modified MWM test we used in this study, the platform is relocated randomly within the correct quadrant with each training trial. The rodents must spend more time searching within the target quadrant; therefore each trial effectively acts as a probe trial. The advantage of this protocol is that rodents should find the platform purely and extensively by reference to the extra-maze spatial cues, which improves the accuracy of spatial performance in the MWM.¹³

Tissue Preparation—Rats were anesthetized with an overdose of chloral hydrate administered intraperitoneally and perfused transcardially with saline solution, followed by 4% paraformaldehyde in 0.1 M PBS, pH 7.4. Rat brains were removed and immersed in 4% paraformaldehyde for 2-4 days. Using a rat brain matrix (Activational Systems Inc.), each forebrain was cut into 2- mm thick coronal blocks for a total 7 blocks from bregma 5.2 mm to bregma -8.8 mm per animal.⁷¹ The tissues were embedded in paraffin and a series of 6 μm-thick slides were cut. For lesion volume measurement, one 6-μm-thick section from each of 7 coronal blocks was traced by a microcomputer imaging device (MCID) (Imaging Research, St. Catharine's, Ontario, Canada), as described previously.¹¹ The volumes of the ipsilateral and contralateral cortices were computed by integrating the area of each cortex measured at each coronal level and the distance between two sections. The cortical lesion volume was expressed as a percentage calculated by [(contralateral cortical volume – ipsilateral cortical volume)/(contralateral cortical volume) × 100%.⁷⁹

Immunohistochemistry—Antigen retrieval was performed by boiling sections in 10 mM citrate buffer (pH 6.0) for 10 minutes. After washing with PBS, sections were incubated with 0.3 % H₂O₂ in PBS for 10 minutes, blocked with 1% BSA containing 0.3 % Triton-X 100 at room temperature for 1 hour, and incubated with mouse anti-doublecortin (1:200; DCX, Santa Cruz Biotechnology, Santa Cruz, CA) or anti-endothelial barrier antigen (EBA, 1:1000; COVANCE, CA) or anti-CD68 (1:200; Serotec, Kidlington, UK) or anti-glial fibrillary acidic protein (GFAP, 1:1000; Dako, Denmark) at 4°C overnight. For negative controls, primary antibodies were omitted. After washing, sections were incubated with biotinylated anti-mouse or anti-rabbit antibodies (1:200; Vector Laboratories, Inc.) at room temperature for 30 minutes. After an additional washing, sections were incubated with an avidin-biotin-peroxidase system (ABC kit, Vector Laboratories, Inc.), visualized with diaminobenzidine (Sigma), and counterstained with hematoxylin.

Immunofluorescent Staining—Newly generated endothelial cells and newborn mature neurons in the lesion boundary zone and dentate gyrus 35 days after TBI were identified by double labeling for BrdU with EBA or NeuN, respectively. Briefly, after being departed and rehydrated, brain sections were boiled in 10 mM citric acid buffer (pH 6)

for 10 minutes. After washing with PBS, sections were incubated in 2.4 N HCl at 37°C for 20 minutes. Sections were incubated with 1% BSA containing 0.3% Triton-X-100 in PBS. Sections were then incubated with mouse anti-NeuN antibody (1:200; Chemicon, Temecula, CA) or anti-EBA at 4°C overnight. For negative controls, primary antibodies were omitted. FITC-conjugated anti-mouse antibody (1:400; Jackson ImmunoResearch, West Grove, PA) was added to sections at room temperature for 2 hours. Sections were then incubated with rat anti-BrdU antibody (1:200; Dako, Glostrup, Denmark) at 4°C overnight. Sections were then incubated with Cy3-conjugated goat anti-rat antibody (1:400; Jackson ImmunoResearch, West Grove, PA) at room temperature for 2 hours. Each of the steps was followed by three 5-minute rinses in PBS. Tissue sections were mounted with Vectashield mounting medium (Vector laboratories, Burlingame, CA).

Cell Counting and Quantitation—For analysis of angiogenesis, we focused on the lesion boundary zone and dentate gyrus. EBA+ endothelial cells, CD68+ microglia/ macrophages, GFAP+ astrocytes, BrdU+ cells, and EBA/BrdU-colabeled cells were counted in the lesion boundary zone and the dentate gyrus. For analysis of neuroblasts, DCX+ cells were examined within the subgranular zone and the granule cell layer of the dentate gyrus of the hippocampus. For analysis of neurogenesis, we counted BrdU+ cells and NeuN/BrdUcolabeled cells in the dentate gyrus and its subregions, including the subgranular zone, granular cell layer, and the molecular layer. The fields of interest were digitized under the light microscope (Nikon, Eclipse 80i) at a magnification of either 200 or 400 using CoolSNAP color camera (Photometrics) interfaced with MetaMorph image analysis system (Molecular Devices), as described in detail previously. ¹⁰⁴ In brief, five fields of view in the lesion boundary zone from the epicenter of the injury cavity (bregma -3.3 mm), and 9 fields of view in the ipsilateral DG were counted in the each section. From our previous experience, our inter-rater reliability was greater than 95% when the cell counts were compared between two independent trained blinded observers scoring the same sections of an animal. In the present study, one blinded observer performed the cell counting in all brain sections.

Statistical Analysis—Data are presented as the means with standard deviations. ANOVA was used for repeated measurements of spatial performance and sensorimotor function. For cell counting, a one-way ANOVA followed by post hoc Tukey's tests was used to compare the differences between the exosome-treated, PBS-treated and sham groups. Pearson's correlation coefficients were calculated to examine relationships between cognitive functional recovery and immunostaining. Differences were considered significant if the P value was <0.05.

Results

Isolation of Exosomes from MSC Culture Medium

Exosomes accumulate as intraluminal vesicles inside multivesicular bodies, 21,25,76,85 while microvesicles (size $100\sim1000$ nm) are small, plasma-membrane-derived particles that are released into the extracellular environment by the outward budding and fission of the plasma membrane. 2,16,65 A precise and clear distinction between the endosomal origin exosomes

(30-120 nm) and microvesicles is lacking, and it is technically difficult to definitively separate microvesicles from the culture media by currently available methods like ultracentrifugation, density gradient separation, chromatography and immunoaffinity capture methods. ⁸¹ In the present study, we employed the ExoQuick-TC kit with centrifugation, one of standard methods for isolating exosomes. ⁸² Due to the relatively low centrifugal force employed in the ExoQuick isolation process, the precipitation of non-exosomal proteins and nucleotides is minimized, whereas non-exosomal protein contamination can occur in prolonged ultra-centrifugation methods. ¹⁹ Using a qNano nanopore-based exosome detection system, we demonstrated that MSCs generate exosome-enriched particles with a peak diameter at 116 ± 49 nm in size (Fig. 1), which is consistent with MSC exosomes identified by us with the transmission electron microscopy using the ExoQuick-TC kit for exosome isolation. ³² Exosomes were the primary component in our isolated precipitant, which was verified by transmission electron microscopy for size, and Western blot and confocal fluorescence microscopy for characteristic markers. ^{32,90,92}

MSC Exosome Administration Significantly Enhances Spatial Learning in Rats after TBI

Spatial learning measurements were performed during the last five days (31-35 days post injury) prior to sacrifice using a modified MWM test, which is very sensitive to the hippocampal injury. ¹³ The greater the percentage of time the animals spend in the correct quadrant (i.e., Northeast, where the hidden platform was located) in the water maze, the better the spatial learning function. The percentage of time spent by sham rats in the correct quadrant increased significantly from 32-35 days after sham operation, compared to time spent in the correct quadrant at the first day of testing, that is, Day 31 (Fig. 2A, $F_{4, 35}$ = 29.58, p < 0.01). In the testing of spatial memory among 3 groups, no significant betweengroup effect on the time spent in the correct quadrant was detected on the first day of the testing in the MWM test (Day 31 post injury, $F_{2, 21}$ = 0.32, p = 0.73); however, a statistically significant between-group effect on the time spent in the correct quadrant was noted in the MWM test (at Day 32, $F_{2, 21}$ = 17.14, p < 0.01; at Day 33, $F_{2, 21}$ = 29.19, p < 0.01; at Day 34, $F_{2, 21}$ = 43.49, p < 0.01; and at Day 35, $F_{2, 21}$ = 38.39, p < 0.01). Relative to the PBS group, post-hoc Tukey's testing demonstrated significantly increased time spent in the correct quadrant in the exosome group at Day 33-35 (p < 0.00).

MSC Exosome Administration Significantly Promotes Sensorimotor Functional Recovery in Rats after TBI

Neurological functional measurement was performed on rats using the modified neurological severity score (mNSS) test. The mNSS is a composite of the motor, sensory, and reflex tests, and has been employed in our previous TBI studies. Neurological function is graded on a scale of 0 to 18, the higher the score; the more severe the injury is. The mNSS score was close to 12 in TBI rats (both the PBS and exosome groups) on Day 1 post TBI, indicating neurological functional deficits were comparable in all TBI rats before treatment (Fig. 2B, t (14) = 0.48, p = 0.64). Significant reduction in the mNSS score was found over time in the PBS-treated animals starting from Day 4-35 compared to Day 1 post injury ($F_{6, 49} = 127.82$, p < 0.01), suggesting a significant spontaneous sensorimotor functional recovery occurred after TBI. However, compared to the PBS treatment, functional recovery was significantly increased in the exosome-treated group on Days 14-35

after TBI (at Day 14, $F_{2,\,21}=579.91$, p<0.01; at Day 21, $F_{2,\,21}=479.04$, p<0.01; at Day 28, $F_{2,\,21}=670.23$, p<0.01; and at Day 35, $F_{2,\,21}=594.58$, p<0.01, with ANOVA followed by post-hoc Tukey's tests). Exosome treatment also significantly reduced the frequency of forelimb footfault occurrence as compared to PBS controls (Fig. 1C, at Day 14, $F_{2,\,21}=196.31$, p<0.01; at Day 21, $F_{2,\,21}=163.40$, p<0.01; at Day 28, $F_{2,\,21}=91.84$, p<0.01; and at Day 35, $F_{2,\,21}=91.28$, p<0.01, with ANOVA followed by post-hoc Tukey's tests).

MSC Exosome Administration Significantly Increases Vascular Density and Angiogenesis in Rats after TBI

Endothelial barrier antigen (EBA)-staining was performed to identify mature vasculature in the brain after TBI. ⁴⁴ TBI alone significantly increased the density of vessels in the lesion boundary zone ($F_{2,\,21}=215.68$, p<0.01) and dentate gyrus ($F_{2,\,21}=129.00$, p<0.01) of the ipsilateral hemisphere compared to sham controls (Fig. 3). Exosome treatment significantly increased the vascular density in the injured cortex and DG compared to the PBS treatment (Fig. 2, p<0.01, with ANOVA followed by post-hoc Tukey's tests). Exosome treatment significantly increased angiogenesis identified by EBA/BrdU+ double labeling for newborn endothelial cells in the lesion boundary zone and DG compared to the PBS treatment (Fig. 3, p<0.01). The Pearson's correlation analyses further showed that: 1) spatial learning was positively correlated to EBA+ vascular density in the DG region ($R^2=0.81$, P<0.01); and 2) sensorimotor functional recovery was positively correlated to EBA+ vascular density in the lesion boundary zone ($R^2=0.64$, P<0.01).

MSC Exosome Administration Significantly Increases Neurogenesis in the Dentate Gyrus in Rats after TBI

To investigate effects of exosome treatment on cell proliferation in the DG, we injected BrdU ip into rats once daily for 10 days starting 24 hours post injury. Animals were sacrificed at 35 days after TBI, and immunostaining performed on paraffin-embedded brain coronal sections. ⁶² Although TBI alone significantly increased cell proliferation compared to Sham group, exosome therapy significantly increased the number of BrdU-positive cells compared to the PBS treatment (Fig. 4A-D, $F_{2,21} = 503.38$, p < 0.01). Immature neurons (neuroblasts) were identified with doublecortin (DCX) staining. TBI reduced the number of DCX+ immature neurons compared to the sham group (Fig.4E-F, $F_{2,21} = 17.39$, p < 0.01). Compared to the PBS group (Fig. 4F-G), exosome therapy significantly increased the number of DCX+ cells (p < 0.01), with their long dendrites projecting into the granule cell layer. We further demonstrated that exosome therapy increased the number of DCX/BrdU+ newborn immature neurons, some of which projected long dendrites into the granule cell layer (Fig. 4I-K, $F_{2,21} = 42.65$, p < 0.01). To identify newly generated neurons in the DG, double labeling for BrdU (proliferating marker) and NeuN (mature neuronal marker) was performed. Exosome treatment significantly increased the number of newborn neurons detected in the granule layer of the DG compared to the PBS controls (Fig. 4M-O, $F_{2,21}$ = 207.16, p < 0.01). Our data also show a significant positive correlation between spatial learning tested by the MWM test and the number of newborn mature neurons ($R^2 = 0.92$, p< 0.01).

MSC Exosome Administration Significantly Reduces Brain Inflammation in Rats after TBI

CD68-staining was performed to identify macrophages/microglia in the brain after TBI.⁴² TBI alone significantly increased the density of CD68+ cells in the lesion boundary zone $(F_{2,21} = 150.23, p < 0.01)$ and DG $(F_{2,21} = 792.51, p < 0.01)$ of the ipsilateral hemisphere compared to sham controls (Fig. 5). Exosome treatment significantly reduced the CD68+ cell density in the injured cortex and DG compared to the PBS treatment (Fig. 2, p < 0.01, with ANOVA followed by post-hoc Tukey's tests). The Pearson's correlation analyses showed that: 1) spatial learning was inversely correlated to CD68+ cell density in the DG region ($R^2 = 0.45$, p < 0.01); and 2) sensorimotor functional recovery was inversely correlated to CD68+ cell density in the lesion boundary zone ($R^2 = 0.41$, p < 0.01). GFAPstaining was performed to identify reactive astrocytes in the brain after TBI.⁷³ TBI alone significantly increased the density of GFAP+ cells in the lesion boundary zone ($F_{2,21}$ = 221.49, p < 0.01) and DG ($F_{2,21} = 103.94$, p < 0.01) of the ipsilateral hemisphere compared to sham controls (Fig. 5). Exosome treatment significantly reduced the GFAP+ astrocyte density in the injured cortex and DG compared to the PBS treatment (Fig. 5, p < 0.01, with ANOVA followed by post-hoc Tukey's tests). The Pearson's correlation analyses showed that: 1) spatial learning was inversely correlated to GFAP+ astrocyte density in the DG region ($R^2 = 0.76$, p < 0.01); and 2) sensorimotor functional recovery was inversely correlated to GFAP+ astrocyte density in the lesion boundary zone ($R^2 = 0.64$, p < 0.01).

MSC Exosome Administration Does Not Alter Cortical Lesion Volume in Rats after TBI

Cortical lesion volume was measured 35 days post TBI, as described previously. ⁹⁷ No differences in lesion volume were observed between the PBS group and exosome group $(14.9 \pm 0.9\% \text{ for PBS groups vs } 14.2 \pm 2.9\% \text{ for exosomes group, t } (14) = -0.68, p = 0.51).$

Identification of MSC Generated Exosomes

Exosomes generated from MSCs were identified with Alix, the exosomal marker protein, using Western blot, and were observed to be within a size range of 40-120 nm by the transmission electron microscopy image, as described in our previous study. $^{90-92}$ In the present study, using a qNano nanopore-based exosome detection system, we demonstrated that MSCs generate exosome-enriched particles with a peak diameter at 116 ± 49 nm in size (Fig. 5).

Discussion

In the present study, we demonstrate for the first time that systemic administration of cell-free exosomes generated by MSCs, with treatment initiated 24 hours post injury in rats after TBI does not alter cortical lesion volume compared to the PBS treatment, but significantly: 1) improves cognitive and sensorimotor functional recovery; 2) increases the number of newborn neuroblasts and mature neurons in the DG; and 3) increases the number of newborn endothelial cells in the lesion boundary zone and DG: and 4) reduces brain inflammation. Improved functional recovery after treatment of TBI with exosomes generated from MSCs is significantly associated with increased brain angiogenesis and neurogenesis as well as with reduced neuroinflammation. Our results suggest that

intravenous administration of exosomes generated from MSCs may represent a novel therapeutic approach for treatment of TBI.

The mechanisms of action of exosomes on functional recovery after TBI are not clear. Our recent study demonstrates that intravenous administration of cell-free MSC-generated exosomes improves functional recovery and enhances neurite remodeling, neurogenesis, and angiogenesis in rats after stroke. 91 The beneficial effects of systemic administration of MSCs and cell-free exosomes generated by MSCs appear similar in animal models of stroke and TBI, in terms of improved functional recovery and increased neuroplasticity including angiogenesis and neurogenesis. 14,43,91 These data support the premise that beneficial effects of MSC treatment are at least partly mediated by exosomes from MSCs. Cells produce exosomes with components and functions that mirror those of their parent cells.³³ Therefore, exosomes function in a highly cell origin-dependent manner. Exosomes contain proteins, lipids, messenger RNAs and microRNAs, which can be transferred to recipient cells and modify their characteristics. 99 Further studies are warranted to identify the molecular constituents of the exosomes, including specific miRNAs and growth factors that promote angiogenesis and neurogenesis after TBI. When the specific molecules necessary for a therapeutic effect are known, selective manipulation of expression of those molecules in the parent MSCs may lead to an enhancement of the therapeutic efficiency of isolated exosomes.

The 100µg total protein of exosomes injected into each rat was collected from approximately 2×10^6 MSCs, a number of MSCs equivalent to the effective amount that we previously used in the MSC-based treatment for TBI (2×10^6 MSCs per rat).⁵⁴ Our previous study suggests that human MSCs cultured with cerebral tissue extract from TBI rats demonstrated a time-dependent increase of various growth factors including brain-derived neurotrophic factor, nerve growth factor and vascular endothelial growth factor. ¹² As cellular stress increases the exosome release from cell lines.³⁹ MSCs within the injured brain tissue may release more exosomes to the brain. However, our previous studies indicate that only a small percentage (<1 %) of transplanted MSCs via tail vein injection can be detected in the injured brain.⁵⁹ Although our recent study using exosomes tagged with green fluorescent protein demonstrated that exosome-enriched extracellular particles were released from MSCs intravenously administered to stroke rats and transferred to adjacent astrocytes and neurons, 92 it is unclear what the amount of exosomes is generated by transplanted MSCs generate in the brain after intravenous MSC administration. Whether a higher dose of exosomes provides a better functional recovery in rats after TBI is unclear. Further studies are warranted to determine a dose-response efficacy for this novel mode of exosome treatment for TBI. In addition, we cannot exclude the possibility that exosomes may act, as possibly do cell-based therapies, on extracerebral tissues to indirectly promote neurovascular remodeling and functional recovery post TBI. MSCs used as cell therapy after TBI may act as remote "bioreactors" via stimulation of lung macrophages and spleen T regulatory cell production (likely due to many intravenously injected MSCs trapped by these organs), leading to systemic remote effects on the central nervous system.⁸⁷ It is warranted to investigate whether these nano-sized exosomes are trapped in those organs and have remote effects on brain.

Many molecules that have been individually tested in preclinical TBI models have not shown efficacy in a clinical setting, ⁶¹ suggesting that combination therapies with these molecules may be required to target complex multiple secondary injury mechanisms involved in the TBI. Exosomes contain very complex molecular cargo. ^{37,100} The benefit and potential strength of exosome treatment, as with stem-cell therapy, is that we are targeting multiple targets. We have demonstrated in stroke rats, that treatment with MSCs transfers microRNAs via exosomes to recipient parenchymal cells. ⁹² MicroRNAs also regulate a myriad of genes. ³⁸ It is this multitargeted approach, rather than the traditional, single molecular pathway approach, that elicits the therapeutic potency of exosome or cell-based therapy. Treatment with MSC-generated exosomes is an alternative approach for targeting the complex TBI.

EBA+ cells are endothelial cells which constitute the vessels. 48 Increased newly born vessels (angiogenesis) may contribute to functional recovery after TBI, as demonstrated by us and others. 51,63,93 Exosome treatment-induced angiogenesis may contribute motor functional recovery by promoting neurite growth and synaptogenesis in the brain after stroke. 91 In the DG, angiogenesis is well coupled with neurogenesis, which may play an important role in improving learning and memory after brain injury. ^{3,49,69,98} Neurogenesis (i.e., a process by which new neurons are generated from neural stem/progenitor cells) occurs in mammals during adulthood and is involved in the pathology of different neurological disorders, and thus neurogenesis may be a potential target area for treatments.⁸⁰ Neurogenesis is stimulated by TBI in rodents and humans. 34,72,107 Accumulating evidence shows a strong correlation between certain types of memory functions and adult neurogenesis in the hippocampus, for example, blocking neurogenesis pharmaceutically ¹⁰³ or genetically impairs spatial learning and memory after TBI, while enhancing neurogenesis through various treatments promotes learning and memory. 35,52,77 Immature DG cells that undergo maturation are also implicated in modulating learning and memory. ^{20,106} There is evidence for an increase in newly born neurons around the lesion area. ^{29,95} SVZ cells generate neuroblasts and can migrate from their normal route along the rostral migratory stream to the injured area after TBI. 30,78 To date, there is no evidence for migration of SGZderived cells beyond the hippocampus after brain injury.

We have previously employed different routes (intraarterial, intravenous, and intracerebral) to administer MSCs into rodents with TBI.^{50,56,58} Although they exhibit promising therapeutic effects, ^{50,55,56,58} there are some disadvantages for each route. For example, relatively few MSCs can be injected intracranially; intraarterial injection of MSCs can cause brain ischemia; and intravenous injection results in body-wide distribution of MSCs.⁵³ In fact, the efficacy of MSC transplantation in treating TBI in animal models seems independent of cell replacement.^{15,31} In the present study, exosomes promote neurovascular remodeling and improve functional recovery after TBI and reflect the beneficial effects of their parent cells. Considering the nano size of exosomes and their many advantages, exosomes present a new weapon for the treatment of TBI in terms of easy administration and the potential drug delivery vehicles across the blood brain barrier.^{1,8} In the present preliminary study, we focused on efficacy of exosome therapy for TBI and did not perform exosome treatment on animals without TBI, considering that exosome therapy would be used only for treatment of TBI patients other than healthy people. Although cell-free

exosome-based therapy offers several advantages over MSCs including easier storage and reduced safety risks, it is warranted to determine the safety of exosomes in animals before initiating the clinical trial. Of note, a clinical trial using umbilical cord-blood derived MSC microvesicles/exosomes in Type I Diabetes Mellitus is ongoing (ClinicalTrials.gov, NCT02138331).

In the current study, activation of GFAP+ astrocytes and CD68+ microglia/macrophages was significantly suppressed by exosomes compared to the PBS control. This anti-inflammatory effect is similar to that of MSC therapy in animal models of stroke^{83,89} and TBI.¹⁰¹ Astrocytes and microglia are distributed throughout the brain, and one of their main functions is to monitor and sustain neuronal health.⁸⁸ Activated astrocytes and microglia release pro and anti-inflammatory cytokines, free radicals, anti-oxidants, and neurotrophic factors which contribute to neuronal death as well as in survival mechanisms during neurodegeneration⁷⁴ and after TBI.^{42,103} It remains under debate whether activated microglia/macrophages promote neuronal survival or exacerbate neuronal damage²⁷. Here, we demonstrate that suppression of activated microglia/macrophages by exosomes may, at least in part, contribute to increased angiogenesis and neurogenesis, and subsequent improvement in functional recovery after TBI.

In the present 35-day study, the exosome treatment significantly accelerated functional recovery (that is, reduced mNSS and footfault scores) after TBI compared to the PBS-treated animals. Our previous long-term (3-months) studies indicate that the PBS-treated TBI animals continue to slowly recover after the 35 day time point. ^{55,67} TBI produces behavioural deficits, with different recovery rates over time, dependent on injury type, severity/size, sex, age, and different tasks performed. ^{22,67,68,75} It is warranted to determine whether the exosome treatment has a long-term permanent reduction in chronic deficits in the future study. It is important to quantify the amount of exosomes in the injured brain. Correlation of exosome levels in the brain and functional recovery may provide additional insight into the mechanism of action and help determine the dose-response efficacy of exosomes for treatment of TBI. The present study is not final proof of the superiority of exosomes. It is warranted to optimize the exosome production and quality control, to determine dosing, timing, toxicity, mechanisms of MSC exosome treatment.

Some additional limitations should be noted in the present study. Extracellular particles and soluble factors are important mechanisms underlying MSC therapy. 37,40,47,100 Extracellular particles include released smaller more homogenous exosomes of endocytic origin and rather heterogeneous microvesicles by the outward budding and fission of the plasma membrane. In our present study, we focused on exosomes and did not compare the exosomes with the non-exosomal fraction of the media. Our first step was to investigate whether treatment of TBI solely with exosomes derived from MSCs provides significant functional benefit compared to the PBS treatment, which is an unexplored area in the TBI field. Although the supernatant of the samples were carefully removed after centrifugation, we do not exclude the possibility of microvesicle components in the content of our injected precipitate, and we will not exclude a contribution of microvesicles to mediating TBI recovery. In addition, we generate exosomes from MSCs other than from other cells or stem-like cells. We do not exclude the possibility that other cells, e.g., embryonic stem cells,

may also generate exosomes that may be effective as a treatment for TBI. Another caveat of the present study is that although we demonstrate a significant therapeutic and neuroplasticity effect of systemic exosome administration, we did not investigate the presence of the exosomes within the brain after TBI. However, exosomes are nano-vesicles and likely enter into the brain. ^{84,102} By expressing a neuron-targeting protein on the surface of exosomes, filling them with siRNA and injecting them intravenously into mice, Alvarez-Erviti et al have achieved specific gene knockdown in the brain. ¹ In our recent stroke study, by tagging exosomes with CD68-green fluorescent protein (GFP), we demonstrated that exosomes-enriched particles were released into brain and cerebrospinal fluid from intravenously administered CD68-GFP-MSCs, and transferred to adjacent astrocytes and neurons in the rat brain. ⁹² Thus, systemic exosome administration may be a means by which to deliver the active components of cell-based therapy to the central nervous system. It is warranted to inject tagged exosomes, either isolated from CD63-GFP-MSCs or labeled with other fluorescent dyes such as PKH, ²⁴ into the animals and track their distributions in the brain (the DG, injury site and target cells) as well as other organs.

In conclusion, in the present study, we, for the first time, demonstrate that intravenous administration of exosomes generated from MSCs improves functional recovery and promotes neurovascular remodeling (angiogenesis and neurogenesis) and reduces neuroinflammation in rats after TBI. This discovery opens a novel avenue for treatment of TBI and possibly other neurologic diseases.

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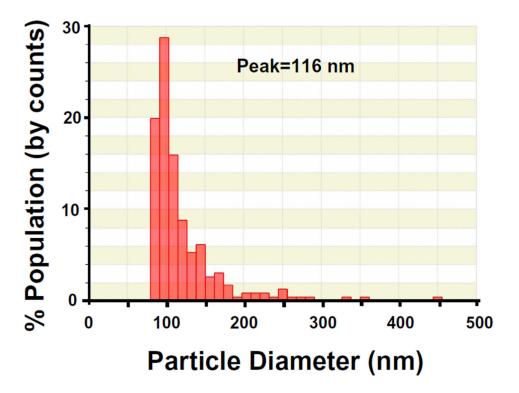


Fig. 1. Measurement by a qNano nanopore-based exosome detection system showed the percentage population of MSC-generated exosome-enriched particles by counts with a peak diameter of 116 ± 49 nm.

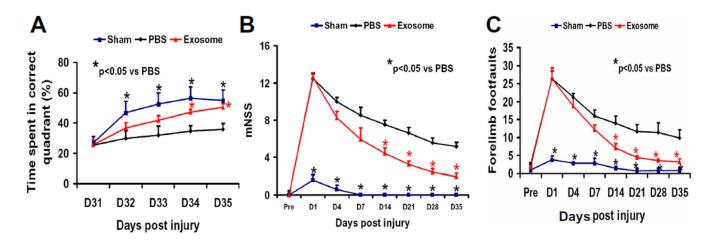


Fig. 2. Treatment with exosomes derived from MSCs significantly improves spatial learning in Morris water maze test (A), sensorimotor function measured by mNSS (B), and right forelimb footfault test (C) in rats after TBI. Data represent mean \pm SD. N = 8/group.

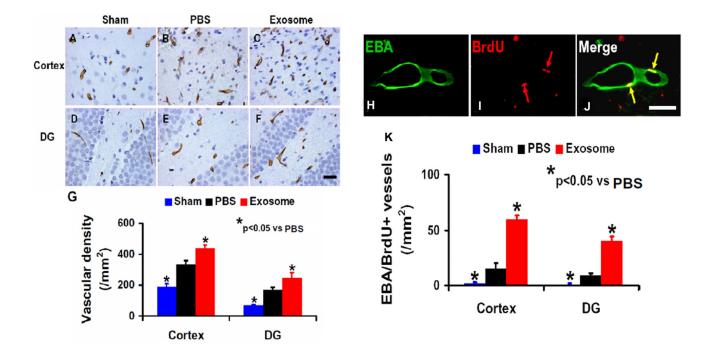


Fig. 3. Treatment with exosomes derived from MSCs significantly increases brain vascular density and angiogenesis in rats after TBI. EBA staining was performed for detection of mature vasculature at day 35 after TBI in the lesion boundary zone and dentate gyrus (DG) of the sham group ($\bf A$ and $\bf D$), PBS-treated group ($\bf B$ and $\bf E$), and exosome-treated group ($\bf C$ and $\bf F$). Double staining for EBA (green, $\bf H$) and BrdU ($\bf I$, red arrows) to identify newly formed mature vessels ($\bf J$, yellow arrows) in the brain at day 35 after TBI. Scale bar ($\bf F$, $\bf J$) =25 μ m. Data in bar graph ($\bf G$, $\bf K$) represent mean \pm SD. N = 8/group.

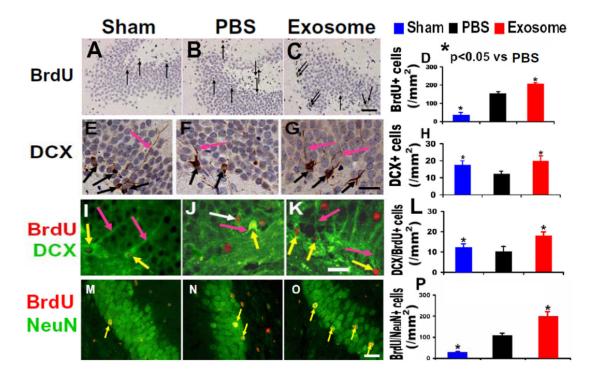


Fig. 4. Treatment with exosomes derived from MSCs significantly increases cell proliferation and neurogenesis in the DG of rats sacrificed at day 35 after TBI. BrdU staining for cell proliferation (**A-D**, black arrows). DCX staining for immature neurons (**E-H**, black arrows for DCX+ cells and pink arrows for dendrites). Double staining with BrdU (red)/DCX (green) for newborn immature neurons indicated by yellow arrows (**I-L**, pink arrows for dendrites). BrdU (red)/NeuN (green) for newborn mature neurons (**M-P**, yellow arrows). Scale bar = 50 μ m (**A-C**), and 25 μ m (**E-G, I-K, M-O**). Data in bar graphs (**D, H, L, P**) represent mean \pm SD. N = 8/group.

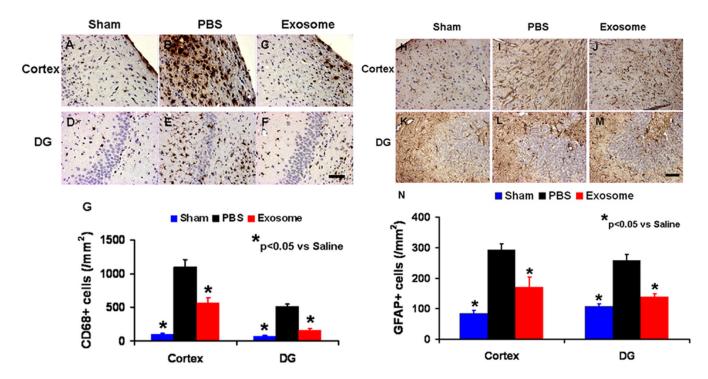


Fig. 5. Treatment with exosomes derived from MSCs significantly reduces the number of activated GFAP+ astrocytes and CD68+ microglia/macrophages in the brain of rats sacrificed at day 35 after TBI. CD68 staining for activated microglia/macrophages (**A-F**). GFAP staining for reactive astrocytes (**H-M**). Scale bar = $50 \mu m$ (**H, M**). Data in bar graphs (**G, N**) represent mean \pm SD. N = 8/group.